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UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

PC580 U.S. PRO
10/003904
11/01/01

Docket No. : 41856/JEC/X2/134052
Inventor(s) : Karl Thiele
Title : DATA MANAGEMENT FRAMEWORK FOR POLICY MANAGEMENT
Express Mail Label No. : EL867653880US

ADDRESS TO: Assistant Commissioner for Patents

Box Patent Application
Washington, D.C. 20231

Date: November 1, 2001

1. **FEE TRANSMITTAL FORM** (*Submit an original, and a duplicate for fee processing*).

2. **IF A CONTINUING APPLICATION**

This application is a of patent application No. .

Prior application information: Examiner ; Group Art Unit:

This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. 60/246,264.

3. **APPLICATION COMPRISED OF**

Specification

14 Specification, claims and Abstract (total pages)

Drawings

3 Sheets of formal drawing(s) (FIGS. 1 to 3)

Declaration and Power of Attorney

Newly executed

Unexecuted declaration

Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. **Microfiche Computer Program (Appendix)**

5. **Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)**

Computer Readable Copy

Paper Copy (identical to computer copy)

Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

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7. ALSO ENCLOSED ARE

- Preliminary Amendment
- Includes "Cross-Reference to Related Applications"
- A Petition for Extension of Time for the parent application and the required fee are enclosed
- An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- This application is owned by pursuant to an Assignment recorded at Reel , Frame Information Disclosure Statement (IDS)/PTO-1449
- Copies of IDS Citations
- Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- English Translation Document (*if applicable*)
- Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- Other

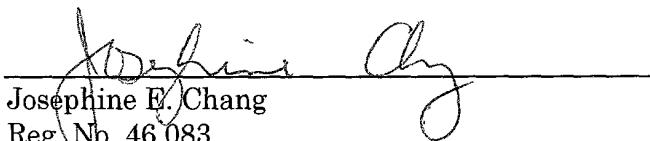
8. CORRESPONDENCE ADDRESS

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Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By


Josephine E. Chang
Reg. No. 46,083
626/795-9900

JEC/daa

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: November 1, 2001

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Inventor(s) : Karl Thiele

Title : DATA MANAGEMENT FRAMEWORK FOR POLICY MANAGEMENT

FEE DETERMINATION

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	Fee
TOTAL CLAIMS	20 - 20	0	x \$9.00	x \$18.00	-0-
INDEPENDENT CLAIMS	4 - 3	1	x \$42.00	1 x \$84.00	\$84.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	
BASIC FEE			\$370.00	\$740.00	\$740.00
				TOTAL FILING FEE	\$824.00

List Independent Claims: 1, 6, 12, 15

METHOD OF PAYMENT

Payment Enclosed: Check for \$824.00

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Josephine E. Chang
Josephine E. Chang
Reg. No. 46,083
626/795-9900